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# Contrast Enhancement of Portal Images with Adaptive Histogram Clip

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# 1. Introduction

The radiotherapy is a widely used and effective method for cancer treatment where a highenergy beam is targeted at the tumor volume. To properly deliver the required radiation to the tumor and to prevent surrounding tissue from destruction, the beam has to be shaped as prescribed by the physician. This requires registration of two images: a reference image and an image obtained during the radiation delivery called portal image. However, portals are notorious with their poor quality which hampers the anatomical structure delineation and makes image comparison a challenge. Therefore, the accurate registration requires a preprocessing step aimed at the contrast enhancement.

Many contrast enhancement techniques are based on the histogram equalization (HE). The idea is to transform the distribution function of the original image and make it as close as possible to a distribution of prescribed shape. As a result some grey levels are combined into one bin and the dynamic range may increase. However, when applied to a whole image HE will ignore local peculiarities and may not perform very well. To avoid this an adaptive histogram equalization technique (AHE) was developped [1, 2]. Here HE is applied in a window sliding over the image pixel by pixel and the grey level of the central windowpixel is transformed, accordingly. This technique performs better than HE but at the expense of much more computational time. To reduce it, an interpolated AHE was developed by Pizer et al. [3]. In their approach the image is divided into nx ny contextual regions of size dxxdy and histograms are evaluated and equalized for all regions. The greys of the original image are transformed using a bilinear interpolation of the equalized histograms of every four neighbor regions. A slightly different approach was suggested in [5]. While the obtained quality is almost as good as that obtained by AHE, the processing time is significantly reduced. A major shortcoming of these approaches concerns the overenhancement of relatively homogeneous regions. Thus, the noise in the background of portal images may be drastically increased. Also, field edges may be significantly blurred.

To reduce the noise enhancement and distortion of the radiation field edge, a contrast limited adaptive histogram equalization (CLAHE) has been suggested by S. M. Pizer et al. [4]. The idea is to cliphistograms from the contextual regions before equalization. Thus the influence of dominant grey levels will be diminished. The magnitude of the clip permits to tradeoff contrast enhancement for noise increasement. However, a constant clip value applied to the entire image may not be appropriate. For example, contrast enhancement is not necessary outside the field. Also, contrast enhancement may not be wellcome for the contextual regions where the field edge goes through because the edge may be severely distorted.

An approach aimed at the addaption of clipping level all over the image was published by Y. Bao [6]. The author introduces a function

(1) 
$$H_{c} = H(\sigma) m,$$

where mands are the mean value and standard deviation of the greys from the contextual region, respectively, and  $H(\sigma)$  is a predifined function in  $\sigma$ . The magnitude of the clipping factor is suggested to be proportional to  $H_c$ . When applied to portal images this technique do not perform very well. This is due to the fact that  $H_c$  is usually high at the boundary which leads to a significant edge blur. In an attempt to avoid this we have developed an approach that recognizes contextual regions  $R_i$  as belonging to the background, field and field edge. This permits to assign different clip levels for the three types of regions.

# 2. Identification of contextual regions

To obtain a good image quality, small clipping values have to be attached to homogeneous regions, thus preventing from much noise in the background. Also, small values are required for boundary regions in order to reduce the edge blur, while large values are required for the regions inside the field. To achieve this, contextual regions  $R_i$  have to be recognized as belonging to the background, boundary and inner part of the field, respectively.

# 2.1. Definition of contextual regions

Three types of contextual regions R, are defined in the parameter space  $(m, \sigma)$  as follows:

- a) B-at least 90% of pixels in the region are from the background;
- b) F-at least 90% of pixels in the region are from the field;
- c)  $\mathbf{E}$  all regions different from  $\mathbf{B}$  and  $\mathbf{F}$ .

Types **B**, **F** and **E** are characterized with different values of mand  $\sigma$ . For instance, regions from **B** will have small  $m_i$  and  $\sigma_i$ , regions from **F** will have average  $m_i$  and average or relatively small  $\sigma_i$ , while regions from **E** will have average  $m_i$  and relatively large  $\sigma_i$ . This is shown in Fig. 1 where parameters  $(m, \sigma)$  are obtained from a real portal image of a patient treated in the Head & Neck. The ROI (Region Of Interest) was divided into contextual regions  $R_i$  of size 32×32 pixels. To classify them into groups **B**, **F** and **E**, first, the field contour was automatically detected [7] and second, conditions a), b) and c) were checked for every  $R_i$ . The black squares in Fig. 1 represent regions of type **B**, black triangles show regions of type **F** and open squares are used for edge regions **E**.

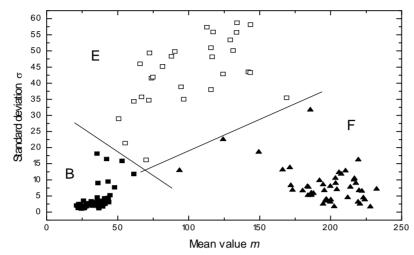


Fig. 1. Clusters corresponding to the background regions (B), field regions (F) and edge regions (E)

#### 2.2. Clusteringprocedure

In Fig. 1 types **B**, **F** and **E** of regions are properly separated with straight lines which suggests that anautomatic classification maybe used to identify the region's type. For this a clustering technique maybe applied. However, in case of different spread of the clusters Euclidean distance may not be an appropriate measure of the similarity between points in the parameter space. Instead, metric tensors are used based on the evaluation of the inverse covariance matrices  $\Sigma_{\nu}^{-1}$  of the corresponding clusters.

Let

(2) 
$$\Sigma_{K}^{-1} = \begin{vmatrix} a_{11}^{K} & a_{12}^{K} \\ a_{21}^{K} & a_{22}^{K} \end{vmatrix}$$

be the inverse covariance matrix and  $M_k(m_{k0}, \sigma_{k0})$  be COG (Center Of Gravity) of the cluster  $K(K=\mathbf{B}, \mathbf{F}, \mathbf{E})$ . The distance  $d_{ik}$  between point  $R_i(m_i, \sigma_i)$  and cluster K is defined by the formula

(3) 
$$d_{iK} = (m_i - m_{K0}, \sigma_i - \sigma_{K0}) \begin{vmatrix} a_{11}^K & a_{12}^K \\ a_{21} & a_{22} \end{vmatrix} \begin{pmatrix} m_i - m_{K0} \\ \sigma_i - \sigma_{K0} \end{pmatrix}.$$

The clustering algorithm looks like this.

1. Select starting points  $S_{\!_K}$  for  $K\!=\!{\bf B},\,{\bf F},\,{\bf E}.$  For this following suggestions may be used (see Fig. 1):

- $S_{\mathbf{R}}$  is the point with  $m = \min_{i} (m_{i})$ ,
- $S_{\mathbf{r}}$  is the point with  $m = \max_{i} (m_{i})$ ,
- $S_{E}$  is the point with  $\sigma = \max_{j} (\sigma_{j})$ .
  - 2. Set  $M_{_{\!K}} = S_{_{\!K}}$ .

3. Evaluate distance  $d_{_{ik}}$  between point  $(m_{_i},\,\sigma_{_i})$  and point  $M_{_K}$  for all regions  $R_{_i}$  and all K.

4. Assign region  $R_i$  to the cluster with minimal distance  $d_{ik}$ . After this step the regions will be redistributed between clusters.

5. Evaluate new COG for the clusters. If the new COG are different from COG evaluated at the previous iteration, go to step 3, otherwise clustering is completed.

This procedure usually converges to a single region distribution between clusters. The distribution does not depend on the starting points but the number of iterations may significantly dependent.

2.3. Clippinglevel selection

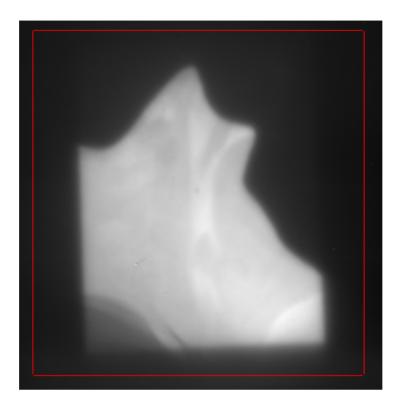
Now then the contextual regions have been identified, clipping values have to be assigned to them depending on the type they belong to. The **B** regions do not need contrast enhancement at all and c = 1 has to be selected for them. For **E** regions a trade off is required between the enhancement of the useful information from the field and preservation of the field edge, therefore 1 < c < 3 is recommended. The **F** regions may be assigned a large clip level having inmind that large c will increase thenoise as well.

#### 3. Experimental results

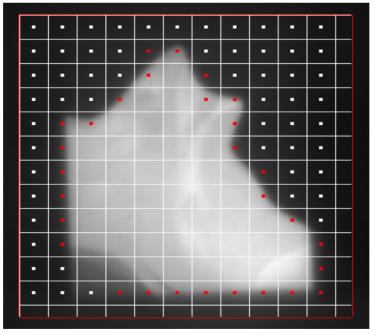
Experiments with real portal images from different sites have been carried out to check the accuracy of the cluster algorithm and the effect of different clip levels. The following metric tensors were used for the three types of regions:

Figures 2b-d show the result of processing of a portal image of a patient treated in the Head&Neck. The original image is shown in Fig. 2a. Fig. 2b shows the selected ROI, contextual regions of size 32×32 pixels and the three types of regions marked with different color. Contrast enhanced images with constant clip c = 7 and adaptive clip with  $c_B = 1$ ,  $c_E = 2$  and  $c_F = 7$  respectively are presented in Fig. 2c and Fig. 2d. While the noise in the background is increased and the field edge is significantly distorted in Fig. 2c, no such effects are visible in Fig. 2d.

The clustering procedure was able to properly identify contextual regions. There were nomisclassifications of field regions for the Head & Neck image which is difficult one because of the unclear field edge in the bottom-left part of the field. No more than 5 iterations were required to find the three clusters of regions. The experiments have proved the good performance of this approach.

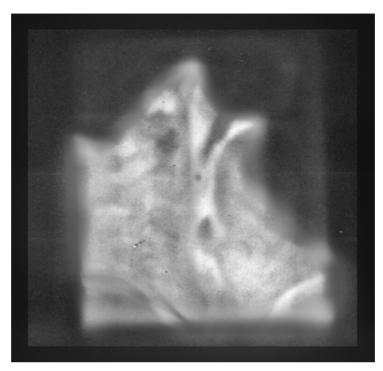


a)



b)

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C)

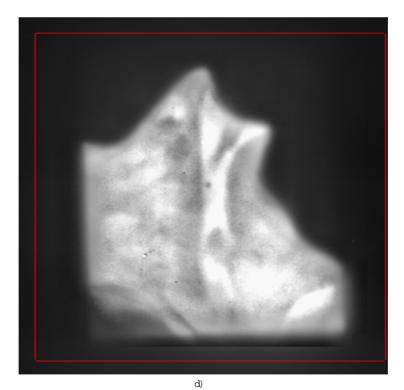


Fig. 2. a) Original H&N image, b) Marked image, c) Constant clip, d) Adaptive clip

# 4. Conclusion

We have developed an approach aimed at the contrast enhancement of portal images without noise enhancement outside the radiation field and without sever distortion of the field edge. A cluster procedure was used that proved to be robust, accurate and fast. It does not depend neither on dynamic characteristics of images nor on the initial selection of cluster points.

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# Улучшение портальных изображений с помощью адаптивного гистограммного клиппирования

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(Резюме)

Описывается новый подход для улучшения контраста портальных изображений. Контекстуальные области классифицируются в кластеры **B**, **F** и **E**, соответствующие фону, полю и контуру поля. Специфическое значение клиппирования присваивается каждому кластеру до гистограммной эквализации. Эксперименты с портальными изображениями показали эффективность этого подхода.